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MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 18, 2006

Signature

*James J. Napoli*  
(James J. Napoli)

Docket No.: 27866/38267A  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Lisa M. Schultze et al.

Application No.: 10/554,135

Confirmation No.: 9361

Filed: October 20, 2005

Art Unit: N/A

For: Method of Preparing a Ring Compound Having  
Two Adjacent Chiral Centers

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents and publications that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO/SB/08 listing the possibly pertinent patent documents and publication and a copy of each non-U.S. patent document and each publication.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

SIMPET

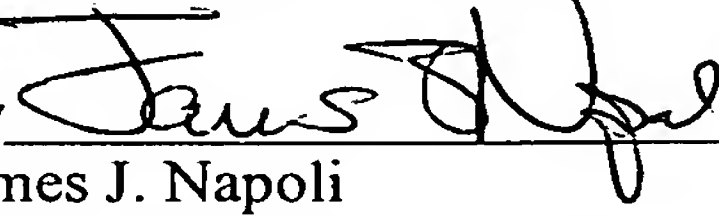
Application No.: 10/554,135

Docket No.: 27866/38267A

We also enclose a copy of a International Search Report for the convenience of the examiner.

Dated: October 18, 2006

Respectfully submitted,

By 

James J. Napoli

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PTO/SB/08A/B (09-06)

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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/554,135
				Filing Date	October 20, 2005
				First Named Inventor	Lisa M. Schultze
				Art Unit	0
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	27866/38267A

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1*	US-6,329,536	12-11-2001	Ji et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	WO-00/15599	03-23-2000	Abbott Lab		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	Annamalai, et al., "Catalysis of the Michael Addition Reaction by Late Transition Metal Complexes of BINOL-Derived Salens," <i>J. Org. Chem.</i> , vol 68., no. 5, pps. 1973-1981 (2002).		
	C2	Barnes, et al., "Development of a Catalytic Enantioselective Conjugate Addition of 1,3-Dicarbonyl Compounds to Nitroalkenes for the Synthesis of Endothelin-A Antagonist ABT-546. Scope, Mechanism, and Further Application to the Synthesis of the Antidepressant Rolipram," <i>J. Am. Chem. Soc.</i> , vol. 124 no. 44 pps. 13097-13105 (2002).		
	C3	Brunner, et al., "Asymmetric Catalysis, CIII [1]: Enantioselective <i>Michael</i> Addition of 1,3-Dicarbonyl Compounds to Conjugated Nitroalkenes," <i>Monatsh. Chem.</i> , vol. 127, pps. 1063-1072 (1996).		
	C4	Corey, et al., "The Catalytic Enantioselective Construction of Molecules with Quaternary Carbon Stereocenters," <i>Angew. Chem. Int. Ed.</i> , vol. 37, pps. 388-401 (1998).		
	C5	Fuji, "Asymmetric Creation of Quaternary Carbon Centers," <i>Chem. Rev.</i> , vol. 93, pps. 2037-2066, (1993).		
	C6	International Search Report in PCT/US2004/012128 dated September 29, 2004.		
	C7	Ji, et al., "Catalytic Enantioselective Conjugate Addition of 1,3-Dicarbonyl Compounds to Nitroalkenes," <i>J. Am. Chem. Soc.</i> , vol. 121, no. 43, pps. 10215-10216 (1999).		
	C8	Johnson, et al., "Chiral Bis(oxazoline) Copper(II) Complexes: Versatile Catalysts for Enantioselective Cycloaddition, Aldol, Michael, and Carbonyl Ene Reactions," <i>Acc. Chem. Res.</i> , vol. 33, no. 6, pps. 325-335 (2000).		
	C9	Langlois, et al., "Synthèse et propriétés antidépressives de dérivés de l'amino-2 phényl-4 delta 1-pyrroline," <i>Eur. J. Med. Chem.</i> , vol. 13, no. 2, pps. 161-169 (1978).		
	C10	Martin, "Tetrahedron Report Number 75 Methodology for the Construction of Quaternary Carbon Centers," <i>Tetrahedron</i> , vol. 36, pps. 419-460, (1980).		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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